

UTILITY PATENT APPLICATION TRANSMITTAL

First Title	Attorn	ey Docket No.	021288-000510US					
	First Ir	nventor		Phil B. Alper, et al.				
	Title	4,7-DISUBSTITUTED INDOLES AND METHODS OF MAKING						
	Expre	ss Mail Label No	EV 33846	469595 US				

(Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDI ICATION EL EMENT	re				ner for Patents			
APPLICATION ELEMENT See MPEP chapter 600 concerning design patent application	_	ADDRESS TO Mail Stop Patent Application P.O. Box 1450						
	on contents.	Alexandria, VA 22313-1450						
Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description			7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper number of pages c. Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9. Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement Power of					
- Claim(s) - Abstract of the Disclosure	- Claim(s)			(when there is an assignee) Attorney English Translation Document (if applicable)				
4. Drawing(s) (35 U.S.C.113) [Total Sheets of the control of the	11.	Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations Preliminary Amendment Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Certified Copy of Priority Document(s)						
 i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 	(if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent							
6. Application Data Sheet. See 37 CFR 1.76		17. 🔲						
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) Of prior application No: For application information: Examiner Group Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
19. CORRESPONDENCE ADDRESS								
☑ Customer Number or Bar Code Label 2035			or Correspondence address below					
Name								
Address								
City	State			Zip Code				
Country Tele	phone			Fax				
Name (Print/Type) Joseph R. Snyder		Registration	No. (Attorne	ey/Agent)	39,381			
Signature Signature	a-			Date	June 26, 2003			

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments n the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450. WC 9060307 v1

Signature

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

METHOD OF PAYMENT (check all that apply)	<u>-</u>	FEE CALCULATION (continued)			
TOTAL AMOUNT OF PAYMENT (\$) 906	Attorney Docket No.	021288-000510US	,		
	Art Unit				
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name		 -		
Effective 01/01/2003. Patent fees are subject to annual revision.	First Named Inventor	Phil B. Alper			
for FY 2003	Filing Date	June 26, 2003			
	Application Number				
FEE TRANSMITTAL	Complete if Known				
Under the Paperwork Reduction Act of 1995, no persons are required to res	pond to a collection of inform	nation unless it displays a valid OMB control nu	ımber.		

TOTAL AM	JUNI OF	PATMENT (3)	900		Attorn	еу Боск	et NO.	0212		/	
METHOD OF PAYMENT (check all that apply)						FEE CALCULATION (continued)					
Check	Credit Card	MoneyOrder	Other [None	3. ADI	DITIONAL	LFEES				
Deposit Accoun	nt:				Large	Entity	Small	Entity_	-		
Deposit					Fee	Fee	Fee	Fee	Fee Description	Fee Paid	
Account	20-14	30			Code 1051	(\$) 130	2051	(\$) 65	Surcharge - late filing fee or oath	Palu	
Number					1052	50	2052	25	Surcharge - late provisional filing fee		
Donosit									or cover sheet.		
Deposit Account	Townser	nd and Townsend ar	nd Crew L	.LP	1053	130	1053	130	Non-English specification		
Name	L				1812	2,520	1812	2,520	For filing a request for reexamination		
The Commissione	r is authoria	zed to: (check all that ap			1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) i	ndicated bel	ow 🔀 Credit any ov	erpayments	;	1805	1.840*	1805	1.840*	Requesting publication of SIR after		
Charge any add	ditional fee(:	s) during the pendency o	f this applic	ation	1,000	1,040	1000	1,040	Examiner action		
Charge fee(s) i	ndicated bel	ow, except for the filing	g fee		1251	110	2251	55	Extension for reply within first month		
to the above-identifi					1252	410	2252	205	Extension for reply within second		
	FE	E CALCULATION					2055	405	month	\vdash	
1. BASIC FILI	NG FEE				1253	930	2253	465 725	Extension for reply within third month	\vdash	
	nall Entity				1254	1,450	2254	725	Extension for reply within fourth month		
Fee Fee Fe	e Fee	Fee Description			1255	1,970	2255	985	Extension for reply within fifth month		
Code (\$) Co	ode (\$)	•	_	ee Paid	1401	320	2401	160	Notice of Appeal		
1001 750 20		Utility filing fee	7:	50	1402	320	2402	160	Filing a brief in support of an appeal		
	02 165	Design filing fee	ļ		1403	280	2403	140	Request for oral hearing		
1003 520 20 1004 750 20		Plant filing fee Reissue filing fee	-		1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1005 160 20	05 80	Provisional filing fee	<u> </u>		1452	110	2452	55	Petition to revive - unavoidable		
•			-		1453	1,300	2453	650	Petition to revive – unintentional		
	SUBT	OTAL (1)	(\$)7	50	1501	1,300	2501	650	Utility issue fee (or reissue)		
2. EXTRA CLA	IM FEES	FOR UTILITY AND	REISSU	E	1502	470	2502	235	Design issue fee		
		Fees fr			1503	630	2503	315	Plant issue fee		
		Extra Claims below		Fee Paid	1460	130	1460	130	Petitions to the Commissioner		
Total Claims 24	-20** =	4 X\$18	[\$72	1807	50	1807	50	Petitions related to provisional applications		
Independent Claims 4	-3** =	1 ×\$84	=	\$84	1806	180	1806	180	Submission of Information Disclosure Stmt		
Multiple Dependent	_	×	= [8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
Large Entity	Small Entity	<u>' </u>			1809	750	2809	375	Filing a submission after final rejection	,	
		ee Fee Descrip	tion						(37 CFR § 1.129(a))		
, , ,	Code (1 2202	•)			1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))		
1202 18 1201 84		9 Claims in ex42 Independent		excess of 3	1	754			Request for Continued Examination		
1203 280		40 Multiple dep			1801	750	2801	375	(RCE)		
1204 84	2204	42 ** Reissue ir over origin	ndependent	•	1802	900	1802	900	Request for expedited examination of a design application		
1205 18	2205	a ** Reissue c	•		Other fo	ee (speci	fy)				
SUBTOTAL (2) (\$)156					*Reduc	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)					
**or number previously paid, if greater; For Reissues, see above											
	_			7							
SUBMITTED BY	<u>′</u>		-/-			1			Complete (if applicable)		
Name (Print/Tvn	. ا دور	Insenh R. Snyder	Registr	ation No. (Atto	nev/Agent) 39	381		Telephone 925-472-5000		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. WC 9060317 V1

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Date